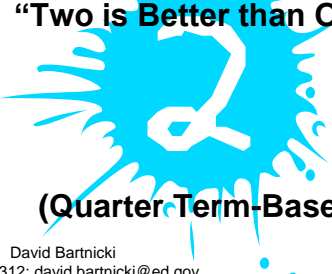


Quarter
2 Pells in an Award Year


PELL GRANT

“Two is Better than One”




(Quarter Term-Based)

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**TIV Basics:
Terms and Definitions**


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Pell Grant

- Terms:
 - Year-Round Pell
 - 2 Pell Grants in an Award Year
- Definition:
 - *Possible* for a student to receive up to two full Scheduled Pell Awards within one Award Year

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Quarter 2 Pells in an Award Year

Academic Year

- Must be *defined* for each eligible program
 - May be the same for all programs
 - May be different for some or all programs
- Academic Year applications include:
 - Establish academic program length for TIV purposes
 - Determine payment periods for TIV disbursements
 - Prorate Stafford Loans
 - Determine 2nd Pell award eligibility*
- Program length may be shorter, but minimum requirements still apply

* Discussed later in session

Academic Year Minimums

Statutory Definition of an Academic Year

Academic Progress Measured By	Minimum Completion Requirement*	Minimum Instructional Time Requirement **
Semester hours	24 semester hours	30 weeks
Trimester hours	24 trimester hours	30 weeks
Quarter hours	36 quarter hours	30 weeks
Clock hours	900 clock hours	26 weeks

*Number of hours that a student enrolled full time is expected to complete in a full academic year
**A week is a seven day period in which there is at least one day of instruction or exams.

Grade Level

- Used to determine the level of academic standing of a student as *defined by an institution*
 - Used to classify students as 1st year, 2nd year, 3rd year, etc. and/or freshman, sophomore...
 - Must be at least equal to ED minimum academic year definition but *can* be longer
- Used to determine 1st year/2nd year student for ACG purposes
- Used to determine annual Stafford loan limits

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Quarter
2 Pells in an Award Year

HEOA: Year-Round Pell
General &
2009-2010 Specific
Requirements

START HERE
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Two Pells in an Award Year

- **Authorized by the Higher Education Opportunity Act of 2008**
 - Effective with 2009-2010 Award Year
- **If otherwise eligible, student MUST receive all or a portion of 2nd Scheduled Award within an Award year**
- **Objective to help accelerate student's progress in a degree or certificate program**
- **Final Regulations Published October 29, 2009**
 - Effective July 1, 2010

START HERE
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Year-Round Pell Grant

- **Schools will continue to calculate the payment using scheduled award for award year (using annual award as appropriate)**
 - Same EFC for award year
 - Continue to use normal Pell formulas (1, 2...)
 - Determining disbursements and payment periods are the same for both award amounts
- **Schools will be able to pay a student up to a maximum of 200% of the scheduled award for the award year**


START HERE
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Quarter 2 Pells in an Award Year

Student Eligibility – 09/10 Award Year


- First Scheduled Award
 - Student otherwise Pell Grant Eligible
 - Enrolled in degree or certificate program
 - Enrolled at any enrollment status
- Second Scheduled Award
 - Student otherwise Pell Grant Eligible
 - **Enrolled at least Half-Time**
 - **Received 100% of 1st Scheduled Award**
 - **Must be enrolled in a program greater than an academic year**

•Does not address transfer students
 •Does not address crossover periods



Program Greater Than One Academic Year


- Must be enrolled in an academic program that is **GREATER** than an academic Year
 - Program length refers to *overall* eligible program length
- Effective for the 9/10 and 10/11 award years
- Required for clock hour/nonterm & term-based programs
- Potential issue for transfers and students changing programs at same school



Quarter Example

Student may receive up to TWO Scheduled Awards.
 Assume student's 2009-10 Scheduled Award is \$5,350 and will be \$5,550 for 2010-11.

Fall 2009 1784	Winter 2010 \$1,783	Spring 2010 \$1,783	Summer 2010 \$1,784	133.3% 2009-2010 AY
2009-10 Award Year				
Fall 2010 \$1,850	Winter 2011 \$1,850	Spring 2011 \$1,850	Summer 2011 \$1,850	133.3% 2010-2011 AY
2010-11 Award Year				



Quarter 2 Pells in an Award Year

Quarter Example

Student may receive up to TWO Scheduled Awards.
Student's Scheduled Award is \$5,350 for 2009-2010.

Summer 2009 \$1,784	Fall 2009 \$1,783	Winter 2010 \$1,783	Spring 2010 \$1,784	Summer 2010 \$1,783
------------------------	----------------------	------------------------	------------------------	------------------------

2009-10 Award Year

166.7%
2009-2010 AY

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Two Pells: One Payment Period - Two Awards

- Calculated Payment for payment period is greater than remaining balance of 1st Scheduled award
- Payment is the remaining amount of the 1st Scheduled Award plus an amount from the 2nd Scheduled Award for the balance of payment
- Will be listed in COD as ONE payment
- *Remember – must always pay a Pell disbursement from ONE award year*

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Two Pells: One Term Two Awards

- Completes 1st Scheduled Award and begins 2nd Scheduled Award within same term
- Scheduled Award = \$5,350

Summer 2009 Full Time Award - \$1784 \$1,784 from 1 st Scheduled Award (34%) Total Percent of 1 st Scheduled Award is 34%	Fall 2009 Full Time Award - \$1783 \$1,783 from 1 st Scheduled Award (33%) Total Percent of 1 st Scheduled Award is 67%	Winter 2010 3/4 Time Award - \$1338 \$1,338 from 1 st Scheduled Award (25%) Total Percent of 1 st Scheduled Award is 92%	Spring 2010 Full-Time Award - \$1783 \$445 from 1 st Scheduled Award which is remaining 8% of 1 st Scheduled Award \$1,338 from 2 nd Scheduled Award which is 25% of 2 nd Scheduled Award
---	---	--	---

Student always able to receive up to 100% of 1st award even if not eligible for portion from second award

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Quarter
2 Pells in an Award Year

**Year-Round Pell
Regulatory Changes:
2010-2011 Award Year
*Effective - 7/1/2010***


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Final Regulations – October 29, 2009

- 2 major changes for 2010-11 Award Year
 - **Acceleration** – Academic Year Progression
 - At least 1 credit or clock hour in the payment period must be *attributable* to student's next academic year definition
 - Count all hours paid by that award year's Pell
 - i.e. hours paid by 9/10 Pell goes toward academic progression in 9/10
 - *NOT grade level progression*
 - **Cross-Over Payment Period**
 - If cross-over payment period, school must award from the award year with the highest award amount for the payment period for the student.

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**2010-2011
Student
Eligibility**

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Quarter
2 Pells in an Award Year


2nd Scheduled Pell Award

- To receive a **second** scheduled award, student must
 - Student otherwise Pell Grant Eligible
 - Enrolled in a program greater than an academic year
 - Used 100% of 1st Scheduled Pell
 - Enrolled at least as a half-time student
 - **Be enrolled for at least one credit or clock hour (or partial hour if school uses partial hours) in the payment period that are attributable to the student's second academic year definition in the award year**
 - Hours attributable toward 2nd AY based on any enrollment status changes due to a school's Pell recalculation policy or ED recalculation requirements

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**Year Round Pell –
2nd Scheduled Award**

- May waive requirement to be enrolled in *hours attributable to the 2nd academic year*
 - Must be due to circumstances beyond the student's control
 - May include circumstances such as illness or classes not offered
 - Does not include withdrawing to avoid a grade or failing to register
 - Must be determined and documented on individual basis

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**Year Round Pell –
2nd Scheduled Award**

- In determining eligibility for a second Scheduled Award in an award year, may NOT use hours based on the following to determine completion of hours in 1st academic year:
 - Advanced Placement (AP) programs
 - International Baccalaureate (IB) programs
 - Testing out, life experience, or similar competency measures
- Remedial hours count towards 1st academic year completion*

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Quarter
2 Pells in an Award Year


Quarter Academic Year Progression

Assumes Academic Year Defined as 36 Hours

Fall 2010 Full-time 34% Paid 12 Hours Earned (completed)	Winter 2011 Full-time 33% Paid 12 Hours Earned (completed)	Spring 2011 Full-time 33% Paid 12 Hours Earned (completed)
---	---	---

First Scheduled Award

Summer 2011 Enrolled in 6 Hours ELIGIBLE	No Hours to Prior Academic Year Six Hours for New Academic Year (Pay 16.7% of Second Scheduled Award)
--	---

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Quarter Academic Year Progression

Assumes Academic Year Defined as 36 Hours

Fall 2010 Full-time 34% Paid 10 Hours Earned (completed)	Winter 2011 Full-time 33% Paid 9 Hours Earned (completed)	Spring 2011 Full-time 33% Paid 12 Hours Earned (completed)
---	--	---

First Scheduled Award

Summer 2011 Enrolled in 6 Hours ELIGIBLE	Five Hours to Prior Academic Year One Hour for New Academic Year (Pay 16.7% of Second Scheduled Award)
--	--

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Quarter Academic Year Progression

Assumes Academic Year Defined as 36 Hours

Fall 2010 Full-time 34% Paid 12 Hours Earned (completed)	Winter 2011 Full-time 33% Paid 9 Hours Earned (completed)	Spring 2011 Full-time 33% Paid 9 Hours Earned (completed)
---	--	--

First Scheduled Award


Summer 2011 Enrolled in 6 Hours NOT ELIGIBLE	Six Hours to Prior Academic Year No Hours for New Academic Year <ul style="list-style-type: none"> - Not Eligible For Second Scheduled Award - Cannot pay second Scheduled Award - May pay from upcoming Award Year
--	--

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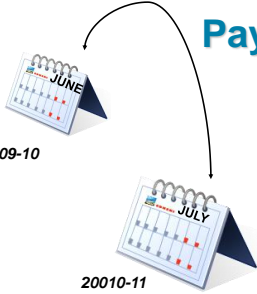
Quarter 2 Pells in an Award Year

REMINDER – PELL PURPOSES

- For **each** Award Year, **Pell Scheduled Awards start over**
 - Each Award Year will have a 1st and a 2nd Pell award
- For **each** Award Year, in order to determine when the 1st *academic year is completed* (in order to provide the 2nd Pell), the school will review hours completed within that award year
 - All hours within payment periods contained in a given award year (including crossover periods considered part of the award year)


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Crossover Payment Periods




2009-10

2010-11

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Cross-Over Payment Period


- Longstanding Policy –
 - Payment period that includes both June 30 and July 1.
 - Must be assigned to one award year
 - Must have valid SAR/ISIR for assigned year.
 - First and last cross-over payment periods could be from the same award year.
 - May be different award year for Pell than for other Title IV aid.
 - Pay all Pell from one Award Year

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Quarter
2 Pells in an Award Year


2010-2011 – Crossover Periods

- Regardless of Enrollment Status:
 - MUST assign a crossover payment period to award year in which student receives greater payment at time payment is initially calculated*
 - MUST reassign if subsequent information to initial calculation shows greater payment would result
 - But not later than ED Pell processing deadline (mid-September)
 - MAY reassign if information received AFTER ED Pell processing deadline but BEFORE Pell Admin Relief deadline (early Feb.)

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New Regs for Cross-Over Term


- Must assign to award year in which student receives greater payment for the term - based upon information available at initial calculation.
 - Assume other year is higher if –
 - No SAR/ISIR.
 - Rejected ISIR with no EFC.
 - ISIR selected for verification but verification not completed.

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Example: Assign Cross-Over To Higher Payment

Student may receive up to TWO Scheduled Awards.
Assume student's 2009-10 Scheduled Award is \$5,350 and \$5,550 for 2010-11.

Fall 2009 \$1,784	Winter 2010 \$1,783	Spring 2010 \$1,783	Summer 2010 \$0	100% 2009-10 AY
2009-10 Award Year				
Summer 2010 \$1,850	Fall 2010 \$1,850	Winter 2011 \$1,850	Spring 2011 \$1,850	133.3% 2010-11 AY
2010-11 Award Year				

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Quarter 2 Pells in an Award Year

Example: Assign Cross-Over To Higher Payment

Student may receive up to TWO Scheduled Awards
 Assume student's 2009-10 Scheduled Award is \$5,350 but only \$4,000 for 2010-11.

Fall 2009 \$1,784	Winter 2010 \$1,783	Spring 2010 \$1,783	Summer 2010 \$1,784	133.3% 2009-10 AY
2009-10 Award Year				

Summer 2010 \$0	Fall 2010 \$1,334	Winter 2011 \$1,333	Spring 2011 \$1,333	100% 2010-11 AY
2010-11 Award Year				

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Set Your Policy??

- School must have a *written policy* on how it will determine to which award year a student's cross-over payment period will be assigned.
- Must develop policy prior to July 1, 2010 or new regulations apply
- Policy may provide for –
 - Awarding all students from 2009-2010, if eligible.
 - May include exceptions
 - Awarding all students from 2010-2011, if eligible.
 - May include exceptions
 - Awarding on a student by student basis

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2009 -2010 Cross-Over Transition


- Policy Established **Prior** to July 1, 2010
 - *Awarding from 2009-2010 Award Year*
 - Rules do not apply unless school's policy states they will (early implementation), therefore –
 - Need not compare ISIRs to 2010-2011.
 - Need not invoke acceleration rule (academic progression).

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Quarter
2 Pells in an Award Year


2009 -2010 Cross-Over Transition

- Policy Established **Prior** to July 1, 2010
 - *Awarding from 2010-2011 Award Year*
 - New Rules Apply, therefore -
 - Must pay higher of student's 2009-2010 or 2010-2011 award.
 - If paying out of 2009-2010, acceleration rule does NOT apply unless school's policy calls for it.
 - If paying out of 2010-2011, acceleration rule not applicable since this would not be second Scheduled Award.

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2009 -2010 Cross-Over Transition

- Policy established **on or after** July 1, 2010
 - New Rules apply for both years–
 - Must pay higher of student's 2009-2010 or 2010-2011 award.
 - If paying out of 2009-2010, acceleration rule applies, regardless of school's policy.
 - If student has not progressed to next academic year, student not eligible for 2009-2010 award therefore pay Pell from 2010-2011 if eligible.

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Crossover Payment Period: Packaging

- May be different award year for Pell than for other Title IV aid.
- Packaging issues
 - For Pell, use EFC for the award year from which the student will be paid.
- Must use same EFC, COA and need for all programs except Pell.
 - Amount of Pell is EFA for other aid.

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Quarter
2 Pell's in an Award Year

Year Round Pell – 2010-2011 Transfers

- Two Methods
 - Assumption Method
 - Hours-Earned Method

START HERE
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Transfers – Assumption Method

- Assume completed first academic year if received 100% of first Scheduled Award at prior institution(s)
- If less than first Scheduled Award, calculate hours considered to have completed:*

$$\frac{\text{Amount disbursed at prior institution} \times \text{Hours in current institution's academic year}}{\text{Amount of Scheduled Award at prior institution}} = \text{Hours considered completed}$$

*If attended more than one school, add the results for each prior school

Transfers - Assumption Method


- Assume student completed the same ratio of the academic year as the % of Pell received
 - Prior school disbursed \$1,784 of \$5,350 Scheduled Award at that school.
 - New school's academic year is defined as 36 credits
 - $\$1,784 \times 36 / \$5,350 = 12$ credits assumed earned at prior school.
 - *Always round down unless award in fractions of credits/clock hours*

START HERE
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Quarter
2 Pells in an Award Year


Transfers – Hours Earned Method

- Actual information from other schools
 - Time periods when hours earned
 - Does not include non-applicable hours (AP, IB, etc.)
 - Include hours in current award year for any hours earned in a payment period scheduled to occur in the prior year and the current award year (crossover)


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Transfers – Hours Earned Method

- If a school receives additional information on hours earned at other schools AFTER a PRIOR payment period in which the school disbursed a payment from the 2nd Scheduled Pell award, the school MAY recalculate disbursement for the PRIOR period

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Maximum Pell amounts & COD Processing

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Quarter
2 Pells in an Award Year

Maximum Duration of Eligibility

- Limits Pell eligibility to 18 semesters
 - Department equivalent is 9 scheduled awards
 - 900% of life-time Pell eligibility
- Applies only to students who are first-time Pell recipients in the 2008-2009 award year or after
 - Students enrolled less than full-time will be assessed at the fractional enrollment status


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Cross-Over Pell Reporting

If Pell disbursements must change Award Years due to requirement to pay largest payment then:

COD
Cancel disbursement from the incorrect year and re-originate and enter actual disbursement amount under the correct award year.

G5
Business Office will do a draw down adjustment reassigning the Pell disbursement to the correct Award Year and draw down any additional Pell funds from the proper Award Year if necessary.

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Cross-Over Pell Reporting

If Pell disbursements must change Award Years due to requirement to pay largest payment then:

G5*
Department is trying to allow schools to be able draw upcoming year Pell funds earlier than July 1. Stay tuned.

FISAP
Report Pell disbursement on the FISAP for the Award Year from which Pell was paid.


- If FISAP already filed, adjustments made during Fall edit/correction process (by December 15).

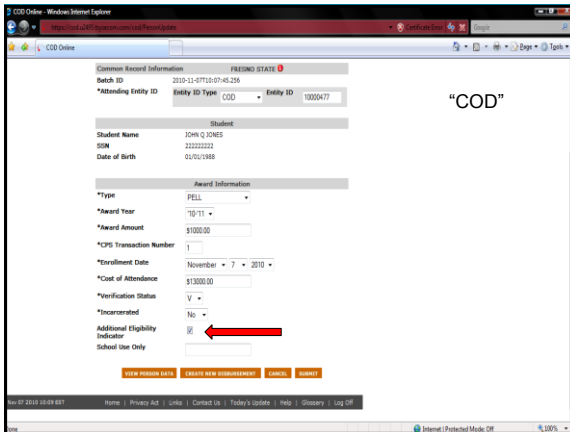
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Quarter
2 Pells in an Award Year

Year-Round Pell - Processing

- New field added to COD record
 - Additional Eligibility Indicator (AEI)
- School sets AEI to “true” on origination record when awarding any part of second scheduled award
- AEI students will not trigger POP MRR
 - Still check for concurrent enrollment

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“COD”

Award Information

*Type	PELL
*Award Year	10-11
*Award Amount	\$1000.00
*CPS Transaction Number	1
*Enrollment Date	November 7 2010
*Cost of Attendance	\$1300.00
*Verification Status	V
*Incarcerated	No
Additional Eligibility Indicator	No ←
School Use Only	


Award Detail Information “COD” HELP

Student Name	Your University
Award Information	
Award Amount Approved	\$5,350.00
Scheduled Award Amount	\$5,350.00
Award Amount Disbursed	\$2,675.00
CPS Transaction Number	01
Enrollment Date	09/08/2009
Cost of Attendance	\$26,928.00
EFC	0
Secondary EFC	
Pell CPS Highest Application Process Date	07/15/2009
Pell CPS Highest Transaction Number	1
Selected for Verification by CPS	No
Verification Status Code	
Incarcerated	No
Additional Eligibility Indicator	No ←
Collection Status Indicator	
Collection Referral Amount	\$0.00
Collection Amount Collected	\$0.00
Eligibility Used	50.0000%
School Use Only	

Quarter
2 Pells in an Award Year

Year-Round Pell - Processing

- COD will calculate a Life-time Eligibility Used percentage (LEU)
 - Only for students who are *first-time* Pell recipients in 2008-2009 or after
 - LEU percentage will be calculated based upon accepted Pell disbursements in COD.
 - Reflected in both COD and NSLDS beginning in July 2009
 - In the common record in 2010-2011
 - On student's ISIR in the 2010-2011

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THANK YOU!

Feedback on Training

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